IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Ulrich Schubert et al.	Examiner:	Mary Mosher
Serial No.:	09/913,927	Group Art Unit:	1648
Filed:	January 14, 2002	Docket:	G&C 151.2-US-WO
Title:	SYNTHETIC PEPTIDE OF REGULATORY VIRUS PROTEIN R (VPR) OF HUMAN IMMUNODEFICIENCY VIRUS TYPE 1 (HIV-1) AND THE UTILIZATION THEREOF		

CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on July 5, 2002.

By: _____
Name: Karen S. Canady

STATEMENT REGARDING SEQUENCE LISTING UNDER 37 C.F.R. §§ 1.821-1.825

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Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In accordance with 37 CFR § 1.821(f), Applicants hereby state that the paper and computer readable copies of the Sequence Listing submitted herewith in connection with the above-identified patent application are the same. The Sequence Listing submitted herewith does not include new matter or matter which goes beyond the application as originally filed.

In accordance with 37 CFR § 1.825(a), Applicants hereby state that the amendments included in the substitute sheets of the Sequence Listing are hereby supported by the application as originally filed, as follows:

SEQ ID NO: 10 is supported by the specification at page 8, line 17-19; and

SEQ ID NO: 11 is supported by the specification at page 8, line 21-23.

Applicants hereby state further that the substitute sheets of the Sequence Listing submitted herewith do not introduce new matter.

Respectfully submitted,

GATES & COOPER LLP
Attorneys for Applicant(s)

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Date: July 5, 2002

By: _____
Name: Karen S. Canady
Reg. No.: 39,927

KSC/sjm
G&C 151.2-US-WO

Application No.: 09/913,927

NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant must file the items indicated below within the time period set the Office action to which the Notice is attached to avoid abandonment under 35 U.S.C. § 133 (extensions of time may be obtained under the provisions of 37 CFR 1.136(a)).

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

☒ 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to these regulations, published at 1114 OG 29, May 15, 1990 and at 55 FR 18230, May 1, 1990.

☐ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).

☐ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).

☐ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing."

☐ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).

☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable from of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).

☐ 7. Other: _____

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Applicant Must Provide:

☒ An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".

☒ An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.

☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

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